



MACTE · INVEST

INVESTMENT SERVICES PROVISION CONTRACT SPECIAL PART

Contract number

Date

INTERMEDIARY

Name of the financial brokerage company	Macte Invest FM AB (<i>hereinafter—the Intermediary or FMJ</i>)
Legal entity code	122601232
Participant's code of the Central Securities Depository of Lithuania (<i>hereinafter – CSDL</i>)	CSDL No 0967
License number, the authority carrying out the supervision of activity	No B 084, issued by the securities Commission of the Republic of Lithuania (liquidated). State oversight activities carried out by the Central Bank of Lithuania (address Totoriu g. 4, LT-01121, Vilnius, Lithuania, +37052680029, info@lb.lt, www.lb.lt)
Address	Gedimino Ave. 20, LT-01103 Vilnius, Lithuania
Tel. no.	+37052225555
Email	broker@macteinvest.com
Account	LT69 7044 0600 0568 1601 AB SEB Bankas 7044, BIC CBVIL T2X
Name and position of representative	Valentins Ľevčenko (Director)

CLIENT

Name, surname	(<i>hereinafter - the Client</i>)
Personal code	
Passport No	
Address	
Tel. no.	
Email	
Checking Account	

1. The Intermediary and the Client (*hereinafter – the Parties*) have entered into this **Investment services provision contract** (*hereinafter - the **Contract***) wherein the Intermediary delivers services to the Client for remuneration.
2. **The Terms and Conditions of Contract** (*hereinafter – Terms and Conditions*) determine the rights and obligations of the Parties as well as procedure of providing services of the Intermediary to the Client. **The Terms and Conditions** constitute an integral part of the Contract.
3. The legislation of the Republic of Lithuania governs the rights and obligations of the Intermediary and the Client arising from the **Contract**.
4. The Client confirms that he/she has access to the Internet and agrees that the Intermediary may provide non-confidential and/or not personally addressed information to the Client by publishing it on the Intermediary's website www.macteinvest.com



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Skype: Macte Invest

5. The Intermediary guarantees and ensures confidentiality of the Client's personal data and transactions and their nondisclosure to third parties unless the legislation of the Republic of Lithuania and the **Terms and Conditions** stipulate otherwise.
6. The Parties settle disputes relating to **Contract** in line with the **Terms and Conditions**.
7. The Parties are entitled to terminate the **Contract** in line with the **Terms and Conditions**.
8. This **Contract** shall enter into force on the date of its signature and shall be valid for an indefinite period.
9. This **Contract** is made in two original copies of equal legal force in the English language, one copy for each Party.

CONFIRMATION OF RECEIPT OF INFORMATION AND ACCESS TO IT

The Client accepts that the **Terms and Conditions**, published on the website www.macteinvest.com is an integral part of this **Contract**. The Client's signature below will act as an evidence of Client's understanding and acceptance of the **Terms and Conditions** of this **Contract**.

CLIENT:

Name, surname

Signature

Name, surname

Signature

ANNEXES TO THE CONTRACT

The representative of the Intermediary together with the Client shall mark all annexes to the Contract provided in the table below which shall be submitted to the Client along with the Contract on the day of its signing and which shall be signed by the Client, considering the investment services intended to be provided to the Client. *Client receives all Annexes in a durable medium - in paper.*

Annexes to the Contract

- Annex 1 – Client Confirmations
- Annex 2 – Agreement for the Submission of Orders in Unwritten Forms
- Annex 3 – Agreement on the Acceptance of Orders Provided in an Electronic form and Subscription to Information for Personal Use
- Annex 4 – Agreement on Derivative Financial Instruments
- Annex 5 – Agreement on Funds Lending
- Annex 6 – Client / Beneficiary Questionnaire
- Annex 7 – Data of the Persons Authorized to Submit Orders in the Name of the Client
- Annex 8 – Agreement on Opening of an Omnibus Account of the Client's Financial Instruments and Funds
- Annex 9 – Agreement on the Sale and Repurchase of Financial Instruments
- Annex 10 – Agreement concerning the access to the trade infrastructure and related services
- Annex 11 – Financial instrument portfolio management Agreement (*Commissioner*)
- Annex 12 – Financial instrument portfolio management Agreement (*Directing*)
- Annex 13 – About the Macte Invest FM AB accounts

INFORMATION SUBMISSION TO THE CLIENT

The representative of the Intermediary shall mark in the table below a durable medium (*in paper, on the web site or by E-mail*) in which, at the choice of the Client, the relevant information and documents were sent to the Client.



Documents delivered to the Client

	Email	Website	Paper
<u>Terms and Conditions of Contract</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>The Amount of Remuneration to the Intermediary and Other Costs and Amounts</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>Policy of Employee's Remuneration</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>The main Information about the Protection of Liabilities to Investors</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>Description of Security Measures for Investors</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>Description of the Nature of Financial Instruments and Inherent Risks</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>Policy for the Execution and Aggregation of Orders, and Allocation of Transactions</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>Policy on Avoidance of Conflicts of Interest and Description of Promotion Measures</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>Policy for the Classification of Clients to Non-Professional, Professional and Eligible Counterparties</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>List of Third Parties the Clients' Assets may be Transferred for Safeguarding to</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<u>Procedure for Implementation of Measures Preventing Money Laundering and Terrorist Financing</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

The Client by signing this Contract certifies that all documents marked in the above-mentioned table "Annexes to the Contract" were submitted to the Client in hard-copy and documents listed in the table "Documents delivered to the Client" were submitted to the Client as marked in the table above and the Client has been familiarized with all these Annexes to the Contract and documents, and understood their contents.

The Client also confirms that he/has regular access to the Internet and agrees that updated versions of all the above mentioned documents irrespective in what they were served to the Client for the first time, would be published in the future on the Intermediary's website www.macteinvest.com and that such publication shall be considered as an appropriate submission of information to the Client.

CLIENT:

Name, surname

Signature

Name, surname

Signature

FOR THE INTERMEDIARY:

Valentins Ļevčenko
(Director)

Signature



CLIENT CONFIRMATIONS

The Client states that is not willing to reveal its professional skills and education concerning investments and his financial situation. The Client acknowledges that this waives the protections afforded to retail investors by the MiFid on all investment services requested by the client.

The Client understands and undertakes all potential risks, including, but not limited to the mentioned main types of risks: financial market liquidity risk, strategic risk, operational risk, legislation risk (including taxation), country risk (political and economical), market risk, credit risk. The Client is aware that possible losses can be several times higher than initial investment and their amount can be unlimited.

CONFIRMATION OF RECEIPT OF INFORMATION AND ACCESS TO IT

The Client by signing this Annex confirms that has made himself familiar with:

Investment services provision contract (hereinafter - the Contract), Terms and Conditions to the Contract, recognizes that its terms and conditions are fair and not detrimental to the Client's interests , and express-the will of the Client;

The Policy for the Execution and Aggregation of Orders, Allocation of Transactions, a Description of Promotion Measures, Conflict(s) of Interest Policy, a Description of Financial Instruments and Investment Risks; the Description of the Nature of Financial Instruments and Investment Risks, the Clients' categorization as non-professional clients, professional clients and eligible counterparties. These and other procedures were disclosed to the Client in media chosen by the Client referred to in a special part of the Contract. The updated versions of the above documents shall be published on the Intermediary's website www.macteinvest.com;

The list of investment services fees was provided to the Client through the chosen media and the updated list is published on the Intermediary's website <http://macteinvest.com/en/fees>.

A summary description of actions taken by the Intermediary so that to ensure the FI and cash security belonging to the Client, including a description of a system of insurance of deposits and liabilities to investors applied in respect of the Intermediary considering its activities carried out in the Republic of Lithuania and other Member States provided in the form of a summary. These procedures were provided to the Client through the chosen media and the updated versions are published on the Intermediary's website www.macteinvest.com.

CLIENT:

Name, surname

Signature

Name, surname

Signature

CONFIRMATION OF MARITAL STATUS

THE CLIENT DECLARES THAT HE:

- is married
- is not married

- the joint marital property of spouses
- not the joint marital property of spouses

The Client, each time by placing an order to the Intermediary, hereby confirms, by assuming responsibility, that he has a valid power of attorney granted by his spouse to carry out operations in FI issued according to the laws of the Republic of Lithuania.

The Client undertakes to immediately notify the Intermediary of the expiration of the power of attorney granted by his spouse to carry out operations in financial instruments, change in family status, and (or) separation and changes in ownership of financial instruments.



CLIENT:

Signature

CLIENT SPOUSE

Name, surname	
Personal code	
Passport No	
Address	
Tel. No.	
E-mail	

SPOUSE CONFIRMATION:

The spouse's signature below will act as evidence, that above mentioned personal data of Client spouse is correct, and that the Client is authorized to represent spouse's interests and to sign all documents on spouses behalf related to the investment services provided by the Intermediary to the Client on the basis of the Contract for the Provision of Investment Services, and all annexes thereto, its amendment and any additional agreements to the Contract, etc.

SPOUSE:

Name, surname

Signature

CONSENT TO EXECUTE ORDERS OUTSIDE A REGULATED MARKET AND IN THE EVENT OF CONFLICT OF INTEREST

THE CLIENT BY SIGNING THIS ANNEX AGREES THAT:

- if necessary, the Intermediary in accordance with the Policy for the Execution and Aggregation of Orders, and Allocation of Transactions of the Intermediary, shall execute the orders of the Client in relation to financial instruments which are included in a list of a regulated market or multilateral trading facility outside a regulated market. This consent is considered to be the general consent of the Client, and in separate cases the Intermediary shall not be required to obtain additional consents to execute specific orders of the Client outside the regulated market;
- The Client does not object to the Intermediary to provide investment services (*including, but not limited to providing recommendations, and acquiring FI while managing the Client's FI portfolio*) in relation to certain FI in the event of conflicts of interest that have been disclosed to the Client when introducing to the Client the Intermediary's conflicts of interest policy and providing the Client with a list of FI in respect of which the Intermediary may have conflicts of interest. The conflicts of interest policy and the list of FI in respect of which the Intermediary may have conflicts of interest shall be updated and published on the Intermediary's website www.macteinvest.com.

- agree
- disagree



CLIENT:

Name, surname

Signature

Name, surname

Signature

CONFIRMATIONS OF THE PROVISION OF INVESTMENT SERVICES NOT VERIFYING THE SUITABILITY OF SUCH SERVICES TO THE CLIENT

THE CLIENT BY SIGNING THE CONTRACT HEREBY CONFIRMS THAT THE INTERMEDIARY INFORMED HIM ABOUT IT, AND HE IS AWARE THAT:

- (i) investment services (*acceptance and execution of orders*) shall be provided in respect of shares admitted to trading on a regulated market or an equivalent third country market, as well as money market instruments, bonds or other forms of securitised debt, with the exception of these bonds and other forms of securitised debt that embedded a derivative, the securities issued by collective investment undertakings and other non-complex financial instruments; and
- (ii) investment services shall be provided at the initiative of the Client;
 - the Intermediary shall have no duty to assess FI and the suitability of the provided or offered to provide investment services for the Client therefore the Client shall not enjoy the protection of the interests of a client as specified in the Law on Markets in Financial Instruments of the Republic of Lithuania which is provided for in the provision of other investment services.
 - the Client can voluntarily provide information to the Intermediary about his knowledge and experience in the investment field relevant to specific investment services or financial instruments; financial situation; objectives which he seeks in exercising the investment services by completing and submitting to the Intermediary a document prepared by the Intermediary (a general questionnaire about the Client's investment objectives, time period, risks and the suitability of financial situation and investment services);
- (iii) investment services in respect of financial instrument derivatives shall be provided in accordance with the provisions set out in Annex 4 to this Contract.

CLIENT:

Name, surname

Signature

Name, surname

Signature



CONFIRMATION OF SAFEKEEPING OF CLIENT'S ASSETS

THE CLIENT BY SIGNING THE CONTRACT CONFIRMS THAT THE INTERMEDIARY INFORMED HIM ABOUT IT, AND HE IS AWARE AND AGREES THAT:

- financial instruments or funds belonging to the Client (*including financial instruments and/or funds submitted as the Collateral*) can be safeguarded on behalf of the Intermediary by a third party on the grounds of trust or in an omnibus account opened for the benefit of clients together with the assets of the Intermediary's other clients, also with such third party client assets, as well as with the Intermediary's assets; and the Client shall assume all risks associated with a decrease in assets;
- there is a risk that in the event of insolvency of a credit institution or other third party where the clients funds may be deposited with all funds will be lost, and the Client shall assume all the risks associated thereof;
- financial instruments belonging to the Client and hold by the third party in compliance with the requirements of national law applied to a third party cannot be separated from financial instruments belonging to the Intermediary, other clients of the Intermediary or a third party; taking this into account the execution may be levied against these financial instruments according to liabilities of such a third party and (or) the Intermediary or other client's of the Intermediary;
- if the law of non-Member States is applied to the accounts for keeping accounting of financial instruments or funds belonging to the Client the rights granted by financial instruments or funds to the Client may change accordingly;
- Client assets transferred to the Intermediary shall be safeguarded by third parties referred to in the list published on the website of the Intermediary www.macteinvest.com. The Client declares that it is familiar with this list and do not have any objections to any of the following parties and their eligibility to safeguard the Client's assets and assumes all risks of loss of property or the decrease in the event of insolvency of these parties;
- credit institutions or other third parties which shall be employed for safekeeping of client's assets may use the Client's asset to offset claims relating to fees for the provided asset safekeeping services what may reduce the client's assets;
- in cases when assets transferred to the Intermediary for safekeeping is held by the third parties referred to in the list published on the website of the Intermediary www.macteinvest.com suitable for clients, such third parties may employ other persons (*sub-custodians*) for the custody of the Client's assets, and shall assume all risks of loss of property or the decrease in the event of insolvency of these parties/persons (*sub-custodians*);
- a custodian (depository) of financial instruments or a sub-custodian may have the right to secure the performance of obligations, lien and setting-off of reciprocal claims, in respect of financial instruments and funds (when financial instruments and funds belonging to the Client can be hold by the third party on behalf of the Intermediary or financial instruments belonging to the Client can be hold by another custodian of financial instruments in the opened omnibus account).

The Client also confirms that the funds and (or) financial instruments transferred to the Client's Intermediary (*including funds and/or financial instruments transferred to form the Collateral*) are not pledged, seized, and there is no other valid lien upon funds and/or financial instruments and /or limitations of use, management and their disposal.

CLIENT:

Name, surname

Signature

Name, surname

Signature

CONFIRMATIONS FOR USE AND PROCESS PERSONAL DATA OF THE CLIENT

The Client hereby expresses his specific and unconditional consent that the Intermediary, if necessary, can verify/specify his personal data (*name, surname, date of birth or personal code, marital status and the date of its change*) in the Residents' Register of the Republic of Lithuania.

The Client by signing this Contract agrees that the Intermediary would process his personal data for the purposes of the provision of investment services:

- in accordance with the Law on Legal Protection of Personal Data of the Republic of Lithuania and internal documents of the Intermediary, the Client shall provide the following personal data: name, surname, personal code, date of birth, address for



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correspondence, telephone number, E-mail address, marital status, education, age, spouse's name/surname, personal code, bank account number and data required for the provision of investment services;

- the Intermediary would disclose the processed personal data of the Client to third parties (*including, but not limited to credit institutions where the funds and/or financial instruments of the Client are safeguarded, operators of regulated markets or multilateral trading facilities where the Client is trading, financial intermediaries which provide the Client with the access to a particular trading platform, etc.*) if it is necessary for the provision of investment services;
- the Client data shall be safeguarded for 10 years (*and certain data for 10 years from the expiry of the Contract*) if the law does not provide for another term. When certain data of the Client are no longer needed and the safeguarding period of the Client data expires, the Intermediary will destroy them with the exception of data which in cases provided for by legal acts shall be transferred to archives;
- the Client shall have the right having arrived to the Intermediary's office and presented an identity document to access his personal data and the procedure of processing; to request to correct or destroy his personal data or to stop the processing of personal data (except safekeeping) when the data is processed not in compliance with legislation; without giving any reasons do not agree that his personal data would be processed for direct marketing purposes; by providing the motives do not agree that his personal data would be processed for the legitimate interest pursued by the Intermediary;
- the Intermediary undertakes to process the Client's personal data in accordance with legislation and regulation on the safekeeping of personal data and processing, and do not disclose the Client's personal data to any third parties having not obtained the prior consent of the Client, unless the Intermediary is required to do so in accordance with legislation.

The Client also states that he agrees that the Intermediary in addition to the above referred documents for the purposes of the Client's solvency and financial risk assessment and debt management would also manage, process and receive data on the types and amounts of financial and (*or*) property obligations requested by the Client in respect of which a positive or negative decision has been taken, the types and amounts of existing financial and (*or*) property obligations, fulfillment terms, data on the performance of such obligations, data about former financial and (*or*) property obligations and their fulfillment, including Client's data in joint debtors files, as well as data on income, type of income and their sources, data on the Client's movable and immovable property, position held (work) and other data required to assess the solvency of the Client.

- agree
- disagree

CLIENT:

Name, surname

Signature

Name, surname

Signature

CONFIRMATION FOR THE PROCESSING OF PERSONAL DATA FOR THE PURPOSES OF DIRECT MARKETING AND RECEIPT OF INFORMATION ON INVESTMENT

The Client by signing this Contract hereby confirms that he agrees that his personal data would be processed for the purposes of direct marketing:

- agree
- disagree

CLIENT:

Name, surname

Signature

Name, surname

Signature



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CONFIRMATION OF THE COSTS NOT DETERMINED BY THE CONTRACT

THE CLIENT BY SIGNING THIS ANNEX HEREBY CONFIRMS THAT THE INTERMEDIARY HAS INFORMED HIM AND HE IS AWARE THAT:

- The Client may have other costs, including taxes, related to transactions in FP or investment services that are not paid through the Intermediary or the costs determined not by the Intermediary;
- third parties which the Intermediary shall employ to ensure the provision of investment services, according to the legislation applicable in the relevant jurisdiction, may have an obligation to deduct taxes applicable in the relevant jurisdiction of the Client's receivables (*amounts receivable, realization of financial instruments, dividends receivable, and others*) which may be higher than the corresponding fees applied in the Republic of Lithuania;
- the Intermediary pursuant to this Contract does not undertake to mediate in the recovery of part taxes withheld by such third parties regardless of whether such withheld tax recovery is possible under applicable legislation; the Intermediary may mediate the recovery of part of taxes to the Client if the Intermediary and the Client separately agree on the terms of such mediation.

CLIENT:

Name, surname

Signature

Name, surname

Signature

CONFIRMATION OF WAYS OF PRESENTING INFORMATION AND THE POSSIBILITY TO USE INTERNET

The Client by signing this contract hereby confirms that he has a regular internet access and all information related to the contract thereof, except for the information specified in the special section of the contract that has been already submitted to the client in a durable medium of his choice, or will be submitted to him by publishing it on the website of the intermediary www.macteinvest.com and (or) on the private zone of the Client, and (or) by sending it by E-mail.

CLIENT:

Name, surname

Signature

Name, surname

Signature



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AGREEMENT FOR THE SUBMISSION OF ORDERS IN UNWRITTEN FORM

1. SUBJECT MATTER OF THE AGREEMENT

- 1.1. This Agreement has been executed as an Annex to the Investment services provision contract and shall establish the procedure and conditions for the submission of orders in the unwritten form provided for in the Investment services provision contract.
- 1.2. This Agreement shall be valid for the duration of the Investment services provision contract concluded between the Client and the Intermediary if this Agreement is not terminated before its expiry date.
- 1.3. The provisions of the Investment services provision contract shall be applied mutatis mutandis to relationships between the Client and Intermediary in submitting the order in the unwritten form considering the peculiarities set out in this Agreement.

2. SUBMISSION OF ORDERS OVER THE TELEPHONE AND ELECTRONIC MAIL

The Client in accordance with the procedure set out in this Agreement shall have the right to submit orders on account of the Client to the Intermediary to transact in financial instruments over the phone and (or) by E-mail.

3. TAXES

For placing orders over the phone, and (or) by E-mail and for their execution the Client shall pay to the Intermediary in accordance with the service fees of the Intermediary applicable on the date of the order submission.

4. CLIENT IDENTIFICATION

- 4.1. When the Client places orders over the phone, and (or) by E-mail, the Intermediary shall identify the Client according to the Client's name, surname and portfolio number, and (or) Client identification code, and (or) personal code. The Client can place an order to the Intermediary over the phone only when the Client's name, surname and (or) personal code, and (or) number of the portfolio, and (or) Client identification code are identified. The Intermediary may request the Client to provide the Client's address, E-mail address and other details for the additional identification. Client's E-mail address which can be used for the submission of orders shall be provided in the Investment services provision contract provided the Client places orders electronically. Client's name, surname and (or) personal code, and (or) number of the Client's portfolio, and (or) Client identification code must be specified in the E-mail.
- 4.2. The Intermediary shall assign the Client's portfolio number and identification code to the Client upon signature of the Contract.
- 4.3. Client portfolio number and identification code assigned to the Client shall have the same legal value as the signature(s) of the Client or its authorized person.
- 4.4. The Client is aware and agrees that all calls of the Client by placing orders over the phone and other calls relating to the submission and execution of the orders with representatives of the Intermediary will be recorded and such records can be used to prove the fact of placing an order and content of the order.
- 4.5. The Client undertakes to ensure that the Client portfolio number and the identification code shall be known only to the Client and neither the Client nor his authorized persons will transfer them to third parties. In the event of a threat that third parties may know the Client portfolio number (or) the identification code and it has become known to third parties, the Client undertakes to immediately notify the Intermediary about this in writing and arrive to the office of the Intermediary and submit a written request to change the number of the Client's portfolio and (or) identification number. The Intermediary upon receipt of this request shall cancel the number of the Client's portfolio and (or) identification code and provide with new ones. The Client having violated obligations laid down in this Article shall be responsible for all consequences thereof.
- 4.6. In the event of a threat that third parties may know the Client's portfolio number (or) the identification code and it has become known to third parties, the Client may submit a request to the Intermediary do not accept orders using the number of the Client's portfolio and (or) identification code. The request maybe made orally (*by calling a special recording phone number of the Intermediary*) or on arrival at the Intermediary's office in writing. If the Intermediary receives a verbal request of the Client, the Client must, at the earliest opportunity, arrive to the office of the Intermediary and submit a request in writing. If the Client wants to resume the placing of orders to the Intermediary over the phone, the Intermediary shall assign to the Client a new number of the Client's portfolio and (or) identification code.

5. RESPONSIBILITIES OF THE INTERMEDIARY

- 5.1. The Intermediary shall not be responsible if the Client cannot place orders over the phone and (or) E-mail or if information due to the failure of telecommunication networks has been lost or distorted and the like.
- 5.2. The Intermediary shall keep information relating to client orders confidential, except in cases established by laws of the Republic of Lithuania.
- 5.3. The Client by signing this Agreement confirms that he is warned and agrees that by accepting the orders over the phone, and (or) E-mail, the Client identification is only a formal verification and cases when third parties can submit an order on behalf of the Client without his awareness, which the Intermediary cannot refuse to execute, however, undertakes to inform the Client personally or in writing of any suspicious order submitted on his behalf are possible.

6. RESPONSIBILITIES OF THE CLIENT

- 6.1. The Client shall be fully and unconditionally responsible for all orders placed using the number of the Client's portfolio provided to the Client and (or) the identification code, and other details and shall assume all rights and obligations arising out of the transactions concluded by the Intermediary for the account of the Client according to such orders.
- 6.2. If the Client fails to comply with the Agreement or other terms and conditions of the contracts concluded between the Intermediary and the Client, the Intermediary shall be entitled to refuse to execute the orders of the Client placed over the phone and (or) E-mail.

7. VALIDITY, TERMINATION AND AMENDMENT OF CONDITIONS AND TERMS OF THE AGREEMENT

- 7.1. The Agreement shall take effect on the date of its signature and shall be valid for an indefinite period of time.
- 7.2. The Agreement shall automatically expire upon termination of the Contract for the Provision of the Investment Service or it may expire otherwise.
- 7.3. Each of the Parties shall be entitled to terminate the Agreement by giving the other party a 14 (fourteen) calendar day notice. In the event the Client violates this Agreement or terms and conditions of contracts concluded between the Client and the Intermediary, the Intermediary shall be entitled to terminate the Agreement by giving the Client a 1 (one) calendar day notice.
- 7.4. The Intermediary shall have the right to unilaterally amend the terms and conditions of the Agreement by giving the Client in writing a 20 (twenty) calendar day notice, or by one of the means provided in Item 9.2.6 of the Terms and Condition of the Contract. The Client shall have the right, within fourteen (14) calendar days of delivery of notification on the amendments, to terminate the Contract according to the procedure laid down in Item 8.4 of the Terms and Condition of the Contract having fulfilled all his contractual obligations. If the Client does not terminate the contract within the term specified and/or the Client continues using the investment services provided for in the Contract, it shall be considered that the Parties have agreed on the new amendments.

CLIENT:

Name, surname

Signature

Name, surname

Signature

FOR THE INTERMEDIARY:

Valentins Ľevčenko
(Director)

Signature



AGREEMENT ON THE ACCEPTANCE OF ORDERS PROVIDED IN AN ELECTRONIC FORM AND SUBSCRIPTION TO INFORMATION FOR PERSONAL USE

1. SUBJECT MATTER OF THE AGREEMENT

- 1.1. This Agreement has been executed as an Annex to the Investment services provision contract and shall establish the procedure and conditions for the submission of orders by E-mail to the Intermediary to buy, sell and transfer the financial instruments or funds, as well as the procedure and conditions for the provision of data on prices, supply and demand of financial instruments in the Vilnius, Riga and Tallinn stock exchanges (*hereinafter - Subscription information*) sent to the Client by E-mail and information on the transactions concluded by the Client.
- 1.2. Nasdaq OMX Group Inc. (*Licensor*) or other systematic internalizer shall provide Subscription information to the Intermediary (*Licensee*) under the Information Distribution Agreement signed by Intermediary.

2. DATA SECURITY AND AUTHENTICATION TOOLS

- 2.1. The Client shall be provided with the following data security and authentication tools:
 - a) Login name (*hereinafter – the USER*);
 - b) Login password (*hereinafter – PIN*) intended for the purposes of the identification of the Client during his logging to a Private Client zone (*hereinafter - the Client zone*) on the Intermediary's website.
- 2.2. The Intermediary shall provide the Client with the login name (*USER*), password (*PIN*) or Client identification card upon signature of this Agreement.
- 2.3. If the Client loses PIN, he shall contact by the phone with an Intermediary or arrive to the Intermediary's office to receive a new PIN.

3. SUBMISSION, ACCEPTANCE AND EXECUTION OF ORDERS

- 3.1. The Client shall place orders to the Intermediary through the Client private zone on the Intermediary's website at the following address: www.macteinvest.com. The Intermediary hereby undertakes to notify the Client in writing or by one of the means specified in Item 9.2.6 of the Terms and Conditions of Contract about the expected change of the website address not later than within five (5) working days.
- 3.2. Information on the selected order the Client shall enter by completing the forms submitted on the Client zone.
- 3.3. All data of orders submitted by the Client shall be automatically encoded during transmission.
- 3.4. The Intermediary by accepting the orders of the Client electronically shall not provide advice to the Client on the order conditions and situation in the financial instruments market, i.e. it shall only perform a trade execution function.
- 3.5. The Intermediary using the order management software module shall verify electronic orders and having found technical or logical errors, as well as other conditions prescribed by legislation under which execution of relevant orders is impossible, shall refuse to execute them. The Client shall be informed about this fact along with the reasons of refusal to execute the relevant order by submitting the said information on the Client zone and (or) over the phone and (or) E-mail.
- 3.6. The Intermediary shall not be liable for incorrect orders entered by the Client if they are technically (or formally) correct.
- 3.7. If the Intermediary fails to identify technical or logical errors, as well as other obstacles to the execution of orders laid down in legislation, it undertakes to execute the order of the Client and having executed it shall make the appropriate entries in the personal account of financial instruments and cash accounts of the Client. Having executed the order in the Lithuanian financial market, entries in the accounts of the Client shall be made immediately having executed the order in the other markets of financial instruments and having executed the order in financial instruments and the order in financial instrument which are not traded on the Vilnius, Riga and Tallinn stock exchanges. All entries in the accounts of the Client shall be made in the procedure established by the Intermediary and not later than within 3 (three) working days (T+3) after the transaction, excluding the date of the transaction, or no later than the next working day upon receipt of confirmation of execution of the order from another intermediary.
- 3.8. The Client using the data security and authentication tools granted to him can obtain information on status of his accounts of financial instruments and cash accounts, as well as on operations in financial instruments and funds.
- 3.9. All data related to the orders of the Client shall be archived and stored. Data shall be archived so that, if necessary, the initial information related to the orders could be restored.

- 3.10. This Agreement shall be also subject to other conditions for the submission, acceptance and execution of orders of the Client specified in this Agreement and internal documents of the Intermediary.

4. RIGHTS AND OBLIGATIONS OF THE PARTIES

- 4.1. The Client undertakes to submit orders to the Intermediary through the Client zone in accordance with the procedure laid down in Item 2.1 of this Agreement using data security and authentication tools granted to him.
- 4.2. The Parties to this Agreement undertake to recognize all electronic orders submitted using data security and authentication tools granted to the Client as signed by the Client, i.e. an electronic order submitted according to the above procedure shall be a legal fact on the basis of which certain duties to the Agreement Parties will emerge and they will have to fulfill them.
- 4.3. The Client undertakes using data security and authentication tools granted to him follow this Agreement.
- 4.4. In the event of errors in the data transfer procedure, the Client undertakes to immediately notify the Intermediary by sending a message to the Client zone on the Intermediary's website and (or) by E-mail, and (or) phone.
- 4.5. Upon changes in the Client's data, the Client shall within five (5) working days notify the Intermediary of the new data by completing the data change form on the Client zone or in the manner provided for in the Contract.
- 4.6. The Intermediary and the Client undertakes to keep data security and authentication tools specified in Item 2.1 of this Agreement secret and undertake to notify each other immediately if such tools become or could become known to any third party.
- 4.7. The Intermediary undertakes to comply with all laws related to this object of the Agreement both which are adopted and are in force on the date of signing the Contract and which will be adopted during the validity period of the Contract.
- 4.8. The Party to the Contract not fulfilling or improperly fulfilling its contractual obligations and/or terms and conditions shall have no right to require the other party to unconditionally fulfill obligations under this Agreement.

5. RESPONSIBILITIES OF THE PARTIES

- 5.1. Since the Intermediary performs only the hardware/software verification of data security and authentication tools and does not have the right to refuse to execute the orders complying with the established requirements, the Client shall assume responsibility not only for his orders but also for orders placed by third parties on his behalf which comply with the established requirements, except when the Intermediary itself, intentionally or negligently, transferred data security and authentication tools to third parties, which taking the advantage of this, submitted orders on behalf of the Client. If data security and authentication tools become known to third parties due to the fault of the Intermediary, it undertakes to compensate for losses in accordance with the procedure prescribed by the law of the Republic of Lithuania.
- 5.2. Since the Intermediary performs only the hardware/software verification of data security and authentication tools and does not have the right to refuse to execute the orders complying with the established requirements, the Client shall be provided with the trade execution service only.
- 5.3. The Intermediary shall not be liable for the inability of the Client to use electronic orders as provided for in this Contract due to lack of computer, software or other equipment or in failure that results due to the causes beyond the control of the Intermediary.
- 5.4. Responsibilities of the Parties as defined in the Contract and Terms and Conditions of the Contract shall apply to this Agreement too.

6. VALIDITY AND TERMINATION OF THIS AGREEMENT

- 6.1. The Agreement shall take effect as of the signature thereof and shall remain in effect for an indefinite period of time;
- 6.2. The Agreement shall automatically expire upon termination of the Contract for the Provision of the Investment Services or it expires otherwise.
- 6.3. Each of the Parties shall have the right to terminate the Agreement by notifying the other Party by a 14 (*fourteen*) calendar day prior notice. In the event the Client violates this Agreement or terms and conditions of other contracts concluded between the Client and the Intermediary, the Intermediary shall have the right to terminate the Agreement by giving the Client a 1 (*one*) calendar day prior notice.
- 6.4. The Intermediary shall have the right to unilaterally amend the terms and conditions of the Agreement by giving the Client in writing a 20 (*twenty*) calendar day prior notice, or by one of the means provided in Item 9.2.6 of the Terms and Conditions of the Contract. The Client shall have the right, within fourteen (*14*) calendar days of delivery of notification on the amendments, to terminate the Contract according to the procedure set forth in Item 8.4. of the Terms and Conditions of the Contract having fulfilled all contractual obligations. Where the Client does not terminate the Contract within the term specified and/or the Client continues using the investment services provided for in the Contract, it shall be considered that the Parties have agreed on the new amendments.

7. FINAL PROVISIONS

- 7.1. All disputes between the Parties concerning the interpretation or execution of the Agreement shall be resolved by negotiations. In failure to resolve the dispute in this way, they shall be settled at the address of the Intermediary's company in the procedure set forth by legislation of the Republic of Lithuania.
- 7.2. This Agreement is executed in two counterparts in the English language, one counterpart to each Party. Both counterparts shall have the same legal force.

CLIENT:

Name, surname

Signature

Name, surname

Signature

FOR THE INTERMEDIARY:

Valentīns Ļevčenko
(Director)

Signature

CLIENT IDENTIFICATION DATA

Client data security and authentication tools discussed in Section 2 "Agreement on the Acceptance of Electronic Orders and Subscription to Information for Personal Use" (*hereinafter - the Agreement*) of Annex 3 to the Investment services provision contract are provided in this document (in the table below):

DATA SECURITY AND AUTHENTICATION TOOLS:

- Login name (*USER*): _____
- Login password (*PIN*): _____
(to be completed if the client is not provided with a password card)
- Password card: _____
(to be completed if the client is provided with a password card)
- Mark **X**, if provided

By signing below the Client hereby confirms that he has received the Client's data security and authentication tools specified in the table above and undertake to use them and keep them secret, as provided herein.

CLIENT:

Name, surname

Signature

Name, surname

Signature

FOR THE INTERMEDIARY:

Valentīns Ļevčenko
(Director)

Signature



Annex 7
to the Investment services provision contract

DATA OF THE PERSONS AUTHORISED TO SUBMIT ORDERS IN THE NAME OF THE CLIENT

The Table below contains the data of the persons authorized to submit orders concerning financial instruments, and specimen of their signatures.

Full Name	Contact number	E-mail address	Signature specimen

The Client shall confirm that all persons referred to earlier are authorized to exercise all rights of the Client related to the Investment services provision contract provided by the Intermediary to the Client on the basis of the Investment services provision contract, and all annexes thereto, its amendment and any additional agreements to the Contract (*including the right to submit, modify or withdraw any orders concerning any financial instruments specified in the Investment services provision contract in respect of which the Client may submit orders on the basis of this Contract*).

CLIENT:

Name, surname

Signature

Date

ABOUT THE MACTE INVEST FM AB ACCOUNTS

Bank transfers are accepted to segregated multi-currency accounts of Macte Invest FM AB at AB SEB bankas, Nordea Bank AB Latvijas filiāle, Latvia, AB SWEDBANK, Lithuania etc. Client's financial instruments, funds on the accounts of Macte Invest FM AB are stored in strict compliance with laws and regulations applicable to client assets, that is kept separate from the accounts of private assets, that are opened in the name of Macte Invest FM AB. Macte Invest FM AB Client's accounts protected by law from claims by the third parties (including government institutions).

Macte Invest FM AB is maintaining separate accounting of the stored Client's financial instruments, funds separately for each Client via program "Francina", which is annually certified by the Depository of NASDAQ OMX.

Name of financial institution	AB SEB bankas, Gedimino ave. 12, LT-01103, Vilnius.
Owner of account	Macte Invest FM AB
SWIFT	CBVILT2X
IBAN	LT697044060005681601
Correspondent bank	<p>USD:</p> <p>DEUTSCHE BANK TRUST COMPANY AMERICAS, New York, SWIFT code: BKTR US 33</p> <p>HSBC BANK USA, N.A., New York, SWIFT code: MRMD US 33</p> <p>THE BANK OF NEW YORK, New York, SWIFT code: IRVT US 3N</p> <p>EUR:</p> <p>DEUTSCHE BANK, Frankfurt am Main, SWIFT code: DEUT DE FF</p> <p>COMMERZBANK AG, Frankfurt am Main, SWIFT code: COBA DE FF</p> <p>SEB, Stockholm, SWIFT code: ESSE SE SS.</p>
More information on the Macte Invest FM AB accounts on the website www.macteinvest.com	

This Annex is an integral part of the Investment services provision contract.

CLIENT:

Name, surname

Signature

Name, surname

Signature

FOR THE INTERMEDIARY:

Valentīns Ļevčenko
(Director)

Signature

